



Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

APPARATUS AND METHOD FOR REDUCING NOX FROM EXHAUST

Transmitted herewith for filing is the original (nonprovisional) patent application of: Inventor(s): Bruce C. Sudduth, Ralph J. Slone, Vishwesh Palekar, Madhu Ramavajjak

GASES PRODUCED BY INDUSTRIAL PROCESSES

1.	Benefit of Prior U.S.	Application(s) under 35	U.S.C. §119(e), 120, or 121:
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X This new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

Papers Enclosed: 2.

For (title):

A.	Required for filing date of a Regula	ar application unde	er 37 C.F.R. §1.53(b):
	46 Pages of Specification, in	cluding claims and	d abstract
	6 Pages of claims		
	9 Sheets of Drawings:	X Formal	Informal
В.	Other Papers Enclosed:		
	Pages of declaration and	d power of attorne	y
	1 Pages of abstract		

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this New Application Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date Dec. 14, 1998 in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EMIQI53959QUS, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

MARIA MARGARETICH

Name of person mailing papers

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	Oth	er			•	
3. Add	ditional P	apers Encl	osed:			
Amend	lment to c	laims	//	/		
_X_Cancel	in this ap	plication c	laims <u>2-60</u>	b	efore calculat	ing the filing fee
least one o	riginal inc	lependent	claim must be re	tain	ed for filing p	urposes.
Add th	e claims s	hown on tl	he attached amen	ıdme	ent. (Claims a	added have been
numbered	consecuti	vely follov	ving the highest 1	num	bered origina	ıl claims.)
	nary Ame	-	0		Ü	,
	-		tement (37 C.F.R.	§ 1.9	8)	
Other:			(3-11	-,	
4. Fee	s:					
			CLAIMS AS FIL	FD	 	,
	Numb	er Filed	Number Extra	a	Rate	Basic Fee \$760.00
Total Clair	ns	1- 20 =		x	\$ 18.00	
Independe		1-3 =		x	\$ 78.00	
Multiple D	ep.			X	\$260.00	
Filing Fee	Calculatio	n				\$760.00
	•	Statement is is a filin	(s) g by a small entit	y ur	der 37 C.F.R	. §1.9 and 1.27 is
attached.						
_X_Status	as small e	ntity was c	rlaimed in prior a	ppli	cation <u>08</u> /_	742,769, filed
on <u>Nove</u>	mber 1, 1	996_, from	which benefit is	bein	g claimed for	this application
under:						
35 U	J.S.C.	§ 119(e)			
		X § 120				
		§ 121				

_§ 365(c)

(At

and which status as a small entity is still proper and desired.	
X A copy of the statement in the prior application is included	. .
Filing Fee calculation (50%)\$380	
6. Fee Payment Being Made at This Time	
Enclosed:	,
<u>X_Filing Fee</u> \$_380_	<u> </u>
Recording Assignment (\$40.00; 37 C.F.R. §1.21(h))	
(See attached "COVER SHEET FOR ASSIGNMENT ACCOMPA	ANYING
NEW APPLICATION) \$	
7. Method of Payment of Fees	
Charge to Deposit Account No. 50-0251 in the amount of \$ 380	_•
A DUPLICATE OF THIS TRANSMITTAL IS ATTACHED.	
8. Authorization to Charge Additional Fees	
The Commissioner is hereby authorized by this paper to charge the fo	ollowing
additional fees and credit any overpayment and during the entire pen	idency of
this application to Deposit Account No50-0251	•
37 C.F.R. §1.16(a), (f), or (g) (filing fees)	
37 C.F.R. §1.16(b), (c), or (d) (presentation of extra claims)	
37 C.F.R. §1.17(a)(1)-(5) (extension fees pursuant to §1.136(a))	
	,
9. Relate Back	
Amend the specification by inserting, before the first line, the followir	ng sentence:
A. 35 U.S.C. §119(e)	
This application claims the benefit of U.S. Provisional Application((s) No(s):
APPLICATION NO(S): FILING DATE	



and v	which status as a small entity is stil	l proper and desired.	
	X A copy of the statement in the prior application is included.		
	Filing Fee calculation (50%)	\$380	
6.	Fee Payment Being Made at Thi	s Time	
Enclo	osed:		
<u>X</u> F	iling Fee	\$ <u>380</u>	
R	ecording Assignment (\$40.00; 37 C	.F.R. §1.21(h))	
	(See attached "COVER SHEET FO	OR ASSIGNMENT ACCOMPANYING	
	NEW APPLICATION)	\$	
7.	Method of Payment of Fees		
Char	ge to Deposit Account No. <u>50-02</u>	51 in the amount of \$ <u>380</u> .	
A DU	JPLICATE OF THIS TRANSMITT	AL IS ATTACHED.	
8.	Authorization to Charge Additi	onal Fees	
The Commissioner is hereby authorized by this paper to charge the following			
additional fees and credit any overpayment and during the entire pendency of			
this application to Deposit Account No. <u>50-0251</u> .			
37 C.F.R. §1.16(a), (f), or (g) (filing fees)			
37 C.F.R. §1.16(b), (c), or (d) (presentation of extra claims)			
37 C.F.R. §1.17(a)(1)-(5) (extension fees pursuant to §1.136(a))			
9.	Relate Back		
Ame	nd the specification by inserting, b	efore the first line, the following sentence:	
. A. 3	5 U.S.C. §119(e)		
This application claims the benefit of U.S. Provisional Application(s) No(s):			
APP	APPLICATION NO(S): FILING DATE		
	_/		

1					
	\ —_/				
	B. 35 U.S.C. §120, 121, and 365(c)				
,	X_This application is a				
, }	<u>X</u> continuation				
R	continuation-in-part				
1	divisional				
J	of copending application(s) now U.S. Patent 5, 985, 222				
Jan. 2000	X application number $08/742,769$, filed on November 1, 1996				
,	International Application filed on and				
	which designated the U.S.				
	Note: The proper reference to a prior filed PCT application that entered the U.S. national phase is the U.S. serial number and the filing date of the PCT application that designated the U.S. 10. Relate Back-35 U.S.C. §119 Priority Claim for Prior Application The prior U.S. application(s), including any prior International Application designating U.S., identified above in item 17B, in turn claim(s) foreign priority(ies) as follows:				
W.	Country Application No. Filed No.				
	The certified copy(ies) has (have)				
	been filed on, in prior application 0/, which				
- 1	was filed on				
	is (are) attached.				
- 1					
	11. Maintenance of Copendency of Prior Application				
1	Extension of time in prior application				
/	A petition, fee and response extends the term in the pending prior				
	application until				
	A copy of the petition filed in prior application is attached.				

12.	NOTIFICATION IN PARENT APPLICATION OF THIS FILING
<u>X</u>	A notification of the filing of this
	X_continuation
	continuation-in-part
	divisional
ia hai	na filad in the navent application. from which this application aloin.

is being filed in the parent application, from which this application claims priority under 35 U.S.C. §120.

Alan R. Loudermilk

Reg. No. 32,788

Tel. No. (408) 342-1866 10950 N. Blaney Avenue, Suite B Cupertino, CA 95014

Practitioner's Docket No. Noxtech-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sudduth	± al.
Serial No.: 08/742 769 Gi	roup No.: 1754
Filed: Nov. 1.1996	kaminer: Vanoy, T.
For: Apparatus and Meth	et al. roup No.: 1754 caminer: Vanoy, T. rod for Reducing Nox from Exhau Gases Produced by Industrial Processe
Assistant Commissioner for Patents	Green On hearth of health
Washington, D.C. 20231	ago Produced by Tryingthia
	Processe
.,о	
DIVISIONAL OR CONTINU	JED PROSECUTION APPLICATION
Notification is hereby being made of the	e filing of a:
© continuation	
☐ continuation-in-part	
☐ divisional	•
continued prosecution	
application for this case	
concurrently herewith.	
□ on	Date
	Date
	••
CERTIFICATION U	NDER 37 CFR 1.8(a) and 1.10
(When using Express Mail, the	Express Mail label number is mandatory;
Express Mail	certification is optional.)
hereby certify that, on the date shown below, the	is correspondence is being:
	MAILING
	ice in an envelope addressed to the Assistant Commissioner
for Patents, Washington, D.C. 20231 37 CFR 1.8(a)	37 CFR 1.10°
with sufficient postage as first class mail.	92 as "Express Mail Post Office to Addressee"
	Mailing Label No. (mandatory) ANSMISSION EM 121539592US
☐ transmitted by facsimile to the Patent and Tra	
	ell charge
Nac 14 1998	Signature
Date: Dec 14, 1998	44001
•	(type or print name of person certifying)
*WARNING: Each paper or fee filed by Express I	Mail must have the number of the "Express Mail" mailing label

*WARNING: Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing laber placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Notification of Filing of Continuing, Divisional or Continued Prosecution Application [4-9] (page 1 of 2))

Reg. No. 32,788

Tel. No.: (408 342-1866

Customer No.:

SIGNATURE OF PRACTITIONER

Alan R. Loudermilk
(type or print name of practitioner)

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(Notification of Filing of Continuing, Divisional or Continued Prosecution Application [4-9] (page 2 of 2))

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